

CITY AND COUNTY OF DENVER

DEPARTMENT OF EXCISE AND LICENSE

OFFICE OF DIRECTOR 201 West Colfax Avenue, Dept. 206 Denver, Colorado 80202

DENVER THE MILE HIGH CITY Michael B. Hancock Mayor

November 4, 2020

Re: Ronin Congress Park LLC d/b/a Ronin 1160 North Madison Street Denver, Colorado 80206

Dear Neighborhood Organization:

Please be advised that an application for a transfer of ownership has been filed with this department regarding a liquor licensed establishment in your neighborhood. Please review the attached documentation for information regarding this change.

Objections to liquor license transfers <u>must</u> be based only on the character, record, and reputation of the applicant. If the transfer includes a cabaret license, objections may also be raised regarding that license. All objections to this application must be submitted to the Director of Excise and Licenses at 201 West Colfax Avenue, Department 206, Denver, Colorado 80202 or to EXLApplications@denvergov.org, by the close of business twenty (20) calendar days from the date of this letter.

To review this application for a transfer of ownership, please visit the Department of Excise and Licenses at 201 West Colfax Avenue, Department 206, between the hours of 8:00 am and 4:00 pm.

Sincerely,

Ashley Kilroy Executive Director of Excise and Licenses

cc: City Councilman Chris Hinds Council District At Large 7th Avenue Neighborhood Association **Bellevue-Hale Neighborhood Association** Bluebird Busines Improvement District Capitol Hill United Neighborhoods, Inc. City Park Friends and Neighbors (CPFAN) Colorado Abatement Professionals, Inc. Congress Park Neighbors, Inc. Council District 5 **Council District 8 Council District 9** Cranmer Park - Hilltop Civic Association **Denver Arts and Culture Initiative** Fax Partnership Greater Park Hill Community, Inc.

Informed and Concerned Community Gardeners (ICCG) Inter-Neighborhood Cooperation (INC) Northeast Denver Friends and Neighbors (NEDFANS) Opportunity Corridor Coalition of United Residents Reclaim the East Side South City Park Neighborhood Association The Points Historical Redevelopment Corp

DR 8404 (09/25/19) COLORADO DEPARTMENT OF REVENUE Liquor Enforcement Division (303) 205-2300

Colorado Liquor Retail License Application

New License New-Concurrent Transfer of Ownership State Property Only							
All answers must be printed in black ink or typewritten							
 Applicant must check the appropriate box(es) Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor 							
1. Applicant is applying as a/an Individual K Limited Liability Company Association or Other							
	Corporation	Partnership (includes Limited	Liability and Husban	d and	Wife Partnerships)	
2. Applicant If an LLC, name of LLC; if partnership, at least 2 partner's names; if corporation, name of corporation RONIN CONTARESS PARK LLC FEIN Number 85-2960039							
2a. Trade Name of Establishment (DB	(A)			State Sales Tax Numb		Business Telephone	
RONIN			94568619			720 272 4120	
3. Address of Premises (specify exact 1160 N MADIS		include suite/u	unit numbers)				
City DENVER			County DENVER CO				
4. Mailing Address (Number and Str	eet)		City or Town State			e ZIP Code	
SAME							
5. Email Address							
6. If the premises currently has a liqu	or or beer license, you	must answer	the following questi	005			
Present Trade Name of Establishmen	t (DBA)	Present State	e License Number	Present Class of Licer	nse	Present Expiration Date	
12 @ MADISON			5579	HER		12-3-20	
Section A	Nonrefundable App	lication Fees	Section B (Cont.)			Liquor License Fees	
Application Fee for New License		\$1.550.00	Lodging & Ente	ertainment - L&E (County	<i>i</i>)	\$500.00	
Application Fee for New License w/	Concurrent Review	\$1,650.00					
Application Fee for Transfer							
Section B	Liquor L	icense Fees				nt\$75.00	
Add Optional Premises to H & R	\$100.00 X T	otal				ex\$75.00	
Add Related Facility to Resort Comp			Master File Loo	ation Fee	\$25.00	X Total	
Add Sidewalk Service Area			Contraction of the Contraction o				
Arts License (City)			S500.00				
Beer and Wine License (City)			Sphonar remises License (County)				
Beer and Wine License (County)			S500.00				
Brew Pub License (City) \$750.00			Besort Complex License (City)				
Brew Pub License (County)\$750.00			Resort Complex License (Cruy)				
Campus Liquor Complex (City)\$500.00			Related Facility - Campus Liquor Complex (City)				
Campus Liquor Complex (County)\$500.00			Related Facility - Campus Liquor Complex (County) \$160.00				
Campus Liquor Complex (State)\$500.00			Related Facility - Campus Liquor Complex (State)\$160.00				
Ciub License (City)\$308.75			E retail Carning lavern Elcense (City)				
Didillar Did License (County)			La rectail Carning lavern Elcense (County)				
			227.50				
Hotel and Restaurant License (City) \$50.00			- Rotan Eldor Otore Eldense-Additional (County)				
Hotel and Restaurant License (County)							
□ Hotel and Restaurant License w/one opt premises (City)			- Retail Elduor Glore (County)				
☐ Hotel and Restaurant License w/one opt premises (County)			Taveni License (City)				
Liquor–Licensed Drugstore (City)\$227.50							
Liquor–Licensed Drugstore (County)\$312.50							
Lodging & Entertainment - L&E (City)							
Questions? Visit: www.colorado.gov/enforcement/liquor for more information							
Do not write in this space - For Department of Revenue use only							
Lipping Appoint Number	Li Lin Di		nformation				
License Account Number	Liability Date	License Issue	ed Through (Expirat	tion Date)	Total \$		

DR 8404 (09/25/19)

Application Documents Checklist and Worksheet

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. All documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. All documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. **Questions? Visit:** www.colorado.gov/enforcement/liquor for more information

Г	Items submitted places check all appropriate boxes completed or documents submitted
<u> </u>	Items submitted, please check all appropriate boxes completed or documents submitted
1.	Applicant information
	A. Applicant/Licensee identified B. State sales tax license number listed or applied for at time of application
	B. State sales tax license number listed or applied for at time of application
	 C. License type or other transaction identified D. Return originals to local authority (additional items may be required by the local licensing authority)
	E. All sections of the application need to be completed
11.	Diagram of the premises
	A. No larger than 8 1/2" X 11"
	B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences,
	walls, entry/exit points, etc.) C. Separate diagram for each floor (if multiple levels)
	D. Kitchen - identified if Hotel and Restaurant
	E. Bold/Outlined Licensed Premises
Ш.	Proof of property possession (<u>One Year Needed</u>)
m.	A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk
	□ B. Lease in the name of the applicant (or) (matching question #2)
	C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant
	□ D. Other agreement if not deed or lease. (matching question #2)
IV.	Background information (DR 8404-I) and financial documents
1.	□ A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors,
	partners, members)
	 B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved state
	vendor. Do not complete fingerprint cards prior to submitting your application.
1	The Vendors are as follows:
	IdentoGO – https://uenroll.identogo.com/
	Phone: 844-539-5539 (toll-free)
	IdentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs
	Colorado Fingerprinting – http://www.coloradofingerprinting.com
	Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/
	Phone: 720-292-2722 Toll Free: 833-224-2227
	C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license
	D. List of all notes and loans (Copies to also be attached)
V.	Sole proprietor/husband and wife partnership (if applicable)
i conde	A. Form DR 4679
	B. Copy of State issued Driver's License or Colorado Identification Card for each applicant
VI.	Corporate applicant information (if applicable)
	A. Certificate of Incorporation
	B. Certificate of Good Standing
	C. Certificate of Authorization if foreign corporation (out of state applicants only)
VII.	Partnership applicant information (if applicable)
	A. Partnership Agreement (general or limited).
	B. Certificate of Good Standing
VIII	I. Limited Liability Company applicant information (if applicable)
	A. Copy of articles of organization
	B. Certificate of Good Standing
	C. Copy of Operating Agreement (if applicable)
	D. Certificate of Authority if foreign LLC (out of state applicants only)
IX.	Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor
	Complex licenses when included with this application
	□ A. \$75.00 fee
	B. Individual History Record (DR 8404-I)
	C. If owner is managing, no fee required

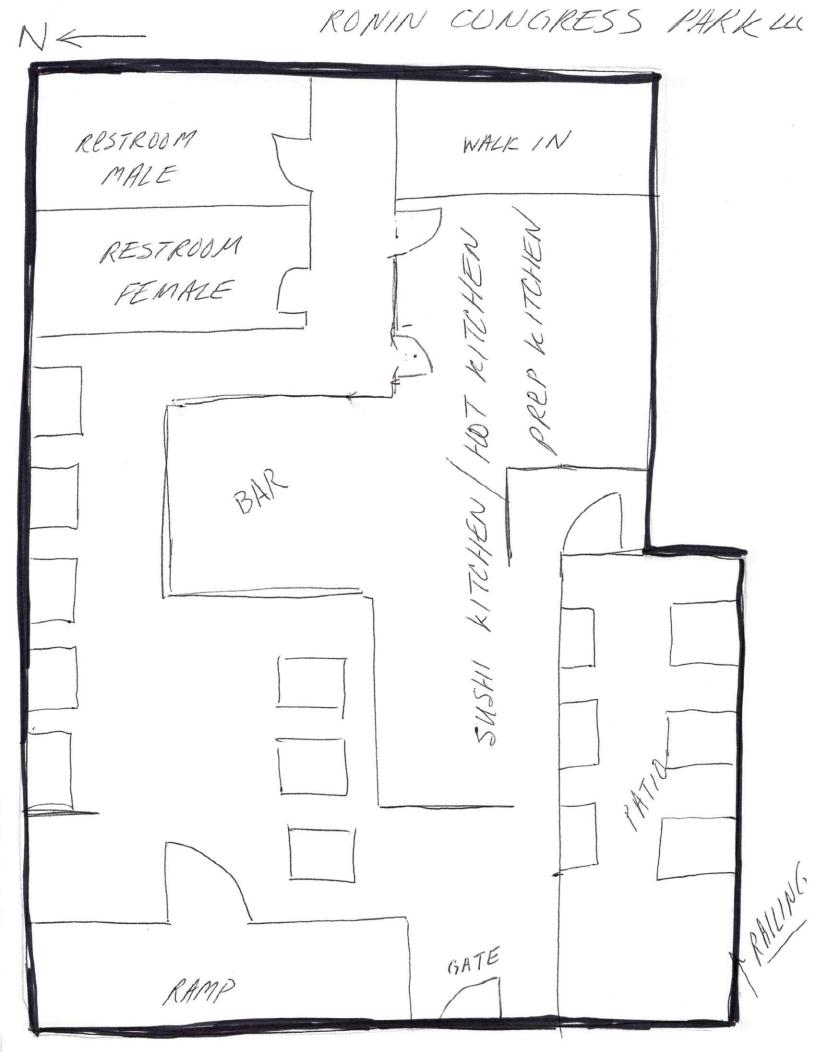
Nam	RONIN CONGRESS PARK LLC Type of License H = R Account Number	
	Is the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, Yes stockholders or directors if a corporation) or managers under the age of twenty-one years?	No
	Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state): a. Been denied an alcohol beverage license? b. Had an alcohol beverage license suspended or revoked? c. Had interest in another entity that had an alcohol beverage license suspended or revoked?	XXX
lf yo 9.	answered yes to 8a, b or c, explain in detail on a separate sheet. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the	X
10.	preceding two years? If "yes", explain in detail	
	Waiver by local ordinance?	
11.	Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of greater than (>) 10,0000? NOTE : The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS.	A
12.	Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,0000? NOTE : The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS.	
13	a. For additional Retail Liquor Store only. Was your Retail Liquor Store License issued on or before January 1, 2016?	
13	b. Are you a Colorado resident?	
14.	Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ and $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers and $\frac{1}{2}$	her
15.	Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement? Image: Comparison of the premises by ownership, lease or other strangement? Image: Ownership in the premises by ow	5
	a. If leased, list name of landlord and tenant, and date of expiration, exactly as they appear on the lease: LAGO CAPITAL INVESTIMATION OF AN AND AND AND AND AND AND AND AND AND	1EN
	10 CAPITAL INVESTMENTS LLC ROWN CONGRESS PARK LLC 9-25	
	 b. Is a percentage of alcohol sales included as compensation to the landlord? If yes, complete question 16. c. Attach a diagram that designates the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" X 11". 	
16.	Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies) will loan or given inventory, furniture or equipment to or for use in this business; or who will receive money from this business? Attach a separate sheet if necess	
	Name First Name Date of Birth FEIN or SSN Interest/Perce	
Last	GUREVICH ALEXANDER 91 Name First Name Date of Birth FEIN or SSN Interest/Perce WENSEN BRAD 97	ntag
Atta	ch copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (inclu nerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreed ing to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.	
	Optional Premises or Hotel and Restaurant Licenses with Optional Premises: Has a local ordinance or resolution authorizing optional premises been adopted?	
18.	Number of additional Optional Premise areas requested. (See license fee chart) For the addition of a Sidewalk Service Area per Regulation 47-302(A)(4), include a diagram of the service area and documentation received the local governing body authorizing use of the sidewalk. Documentation may include but is not limited to a statement of use, permit, easement of the local permissions.	
19.	Liquor Licensed Drugstore (LLDS) applicants, answer the following: a. Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? If "yes" a copy of license must be attached.	

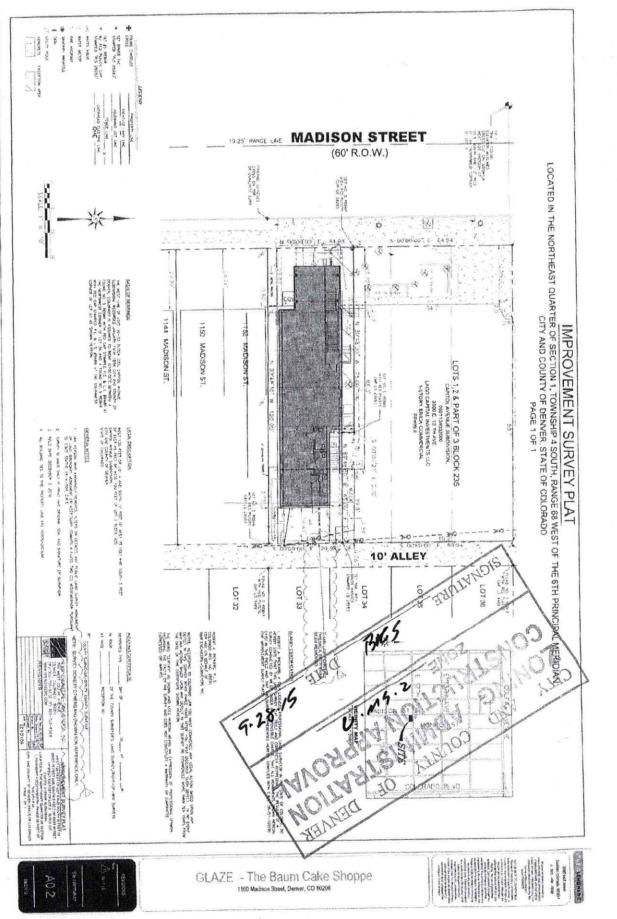
Name	0 6 00.00		Type of Licopeo		Account Number		
	RONIN CONCERES,	SPARK LLC	Type of License $\mathcal{H} \in \mathcal{R}$	-	Account Number		
20. Club							
b. Is	 a. Is the applicant organization operated solely for a national, social, fratemal, patriotic, political or athletic purpose and not for pecuniary gain? b. Is the applicant organization a regularly chartered branch. lodge or chapter of a national organization which is operated solely for the object of a patriotic or fraternal organization or society, but not for pecuniary gain? 						
CONTRACT HERE	ow long has the club been incorpora				N	/4	
	as applicant occupied an establishme		and the second se	ed solely for th	e reasons stated above?		
	v-Pub, Distillery Pub or Vintner's Re as the applicant received or applied			ust he attache	N/	4 0 0	
	as the applicant received of applied		permit of application m	usi be allache	(0)	1	
1000000111111	a. Is the applicant an institution of higher education?					Yes No	
	the applicant a person who contract "yes" please provide a copy of the				od services.		
a. Ho In - (23. For all on-premises applicants. a. Hotel and Restaurant, Lodging and Entertainment, Tavern License and Campus Liquor Complex, the Registered Manager must also submit an Individual History Record - DR 8404-I and fingerprint submitted to approved State Vendor through the Vendor's website. See application checklist, Section IV, for details. 						
	or all Liquor Licensed Drugstores (LL DR 8000 and fingerprints.	DS) the Permitted Manager mu	ist also submit an Manag	er Permit Appli	cation		
	ne of Manager		First Name of Manage	NNER			
24. Doe	es this manager act as the manager	of, or have a financial interest		and the help the	nent in the State of		
25. Rela	ated Facility - Campus Liquor Comp	lex applicants answer the follo	wing:	NA EIGIA	5 10-88201	Yes No	
a. Is	s the related facility located within th	e boundaries of the Campus L	iquor Complex?	VA TIEFO	10-00011-0		
lf If	es this manager act as the manager orado? If yes, provide name, type of ated Facility - Campus Liquor Comp is the related facility located within th i yes, please provide a map of the get i no, this license type is not available	eographical location within the for issues outside the geogra	Campus Liquor Comple phical location of the Ca	x. mpus Liquor C	Complex.	A.	
b. D	Designated Manager for Related Fac	ility- Campus Liquor Complex			12 12		
Last Nam	ast Name of Manager First Name of Manager						
26. Tax	Information.					Yes No	
a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business?							
b. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.?							
27. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and make an appointment with an approved State Vendor through their website. See application checklist, Section IV, for details.							
Mama		Home Address, City & Stat		DOB.	Position .	%Owned	
Alexa	mder livrench				MANAGING Prin	1r 91	
Name Bra	d Swensen	Home Address, City & Stat	e	DOB, ',	Position	%Owned 9	
Name		Home Address, City & Stat	e	DOB /	Position	%Owned	
Name		Home Address, City & Sta	e	DOB	Position	%Owned	
Name		Home Address, City & Sta	e	DOB	Position	%Owned	
** If applicant is owned 100% by a parent company, please list the designated principal officer on above.							
** Corpor ** If total	orations - the President, Vice-Preside lownership percentage disclosed he oplicant affirms that no individual oth	nt, Secretary and Treasurer mu ere does not total 100%, applic	ant must check this box	ve (Include owr			
	prohibited liquor license pursuant to Article 3 or 5, C.R.S.						

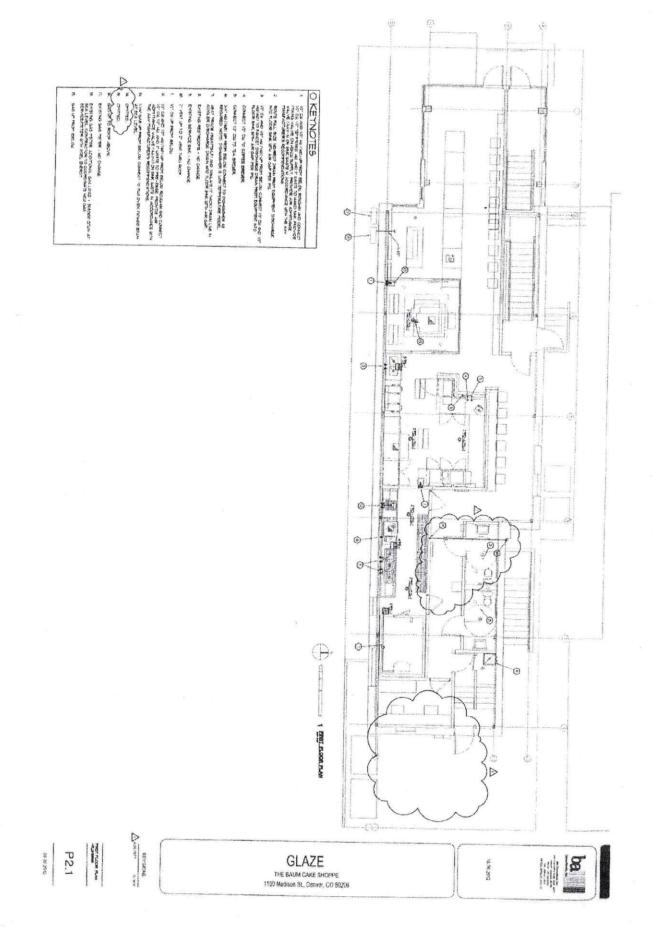
Name RONIN CONGRESS P.	ARK LIC	Type of License	R	Account Number		
	Oath Of A	Applicant				
I declare under penalty of perjury in the second degree t knowledge. I also acknowledge that it is my responsibil Colorado Liquor of Beer Code which affect my license.	that this application ar ity and the responsibi	id all attachments are tru lity of my agents and em	ployees to con	nply with the provision	s of the	
Authorized signature Printed Name and Title Alexander Coursen 6 Partner 9/25/20						
Report and App		censing Authority			/ /	
Date application filed with local authority Date of	f local authority hearing	(for new license applicants	; cannot be less	than 30 days from date	of application)	
The Local Licensing Authority Hereby Affirms that each p been: Fingerprinted Subject to background investigation, including That the local authority has conducted, or intends to co and aware of, liquor code provisions affecting their class	NCIC/CCIC check for onduct, an inspection	or outstanding warrants				
(Check One) Date of inspection or anticipated date Will conduct inspection upon approval of state licensing authority						
□ Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off- premises sales in a jurisdiction with a population of > 10,0000?						
 ☐ Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of < 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises 						
for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS. Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period?						
The foregoing application has been examined; and the report that such license, if granted, will meet the reaso with the provisions of Title 44, Article 4 or 3, C.R.S., and	nable requirements o	f the neighborhood and I	the desires of the	pplicant are satisfacto ne adult inhabitants, a	ry. We do nd will comply	
Local Licensing Authority for		Telephone Number		Town, City County		
Signature	Print	Land Control of Contro			Date	
Signature	Print	Title Date			Date	

RONIN CONGRESS PARKUC









FERTER BILLING DATEAUSE ON TO SAID 120000 DRAMING PARADAR DI PERSINALI COLORD COLORD BARENNAS FILLING