

CITY AND COUNTY OF DENVER

DEPARTMENT OF EXCISE AND LICENSE

OFFICE OF DIRECTOR 201 West Colfax Avenue, Dept. 206 Denver, Colorado 80202

DENVER THE MILE HIGH CITY Michael B. Hancock Mayor

November 4, 2020

Re: Ronin Congress Park LLC d/b/a Ronin 1160 North Madison Street Denver, Colorado 80206

Dear Neighborhood Organization:

Please be advised that an application for a transfer of ownership has been filed with this department regarding a liquor licensed establishment in your neighborhood. Please review the attached documentation for information regarding this change.

Objections to liquor license transfers <u>must</u> be based only on the character, record, and reputation of the applicant. If the transfer includes a cabaret license, objections may also be raised regarding that license. All objections to this application must be submitted to the Director of Excise and Licenses at 201 West Colfax Avenue, Department 206, Denver, Colorado 80202 or to EXLApplications@denvergov.org, by the close of business twenty (20) calendar days from the date of this letter.

To review this application for a transfer of ownership, please visit the Department of Excise and Licenses at 201 West Colfax Avenue, Department 206, between the hours of 8:00 am and 4:00 pm.

Sincerely,

Ashley Kilroy Executive Director of Excise and Licenses

cc: City Councilman Chris Hinds Council District At Large 7th Avenue Neighborhood Association **Bellevue-Hale Neighborhood Association** Bluebird Busines Improvement District Capitol Hill United Neighborhoods, Inc. City Park Friends and Neighbors (CPFAN) Colorado Abatement Professionals, Inc. Congress Park Neighbors, Inc. Council District 5 **Council District 8 Council District 9** Cranmer Park - Hilltop Civic Association **Denver Arts and Culture Initiative** Fax Partnership Greater Park Hill Community, Inc.

Informed and Concerned Community Gardeners (ICCG) Inter-Neighborhood Cooperation (INC) Northeast Denver Friends and Neighbors (NEDFANS) Opportunity Corridor Coalition of United Residents Reclaim the East Side South City Park Neighborhood Association The Points Historical Redevelopment Corp

DR 8404 (09/25/19) COLORADO DEPARTMENT OF REVENUE Liquor Enforcement Division (303) 205-2300

Colorado Liquor Retail License Application

| New License New-Concurrent Transfer of Ownership State Property Only | | | | | | | |
|--|-------------------------|-----------------|--|--------------------------|-------------|-------------------------|--|
| All answers must be printed in black ink or typewritten | | | | | | | |
| Applicant must check the appropriate box(es) Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor | | | | | | | |
| 1. Applicant is applying as a/an Individual K Limited Liability Company Association or Other | | | | | | | |
| | Corporation | Partnership (| includes Limited | Liability and Husban | d and | Wife Partnerships) | |
| 2. Applicant If an LLC, name of LLC; if partnership, at least 2 partner's names; if corporation, name of corporation RONIN CONTARESS PARK LLC FEIN Number 85-2960039 | | | | | | | |
| 2a. Trade Name of Establishment (DB | (A) | | | State Sales Tax Numb | | Business Telephone | |
| RONIN | | | 94568619 | | | 720 272 4120 | |
| 3. Address of Premises (specify exact 1160 N MADIS | | include suite/u | unit numbers) | | | | |
| City DENVER | | | County DENVER CO | | | | |
| 4. Mailing Address (Number and Str | eet) | | City or Town State | | | e ZIP Code | |
| SAME | | | | | | | |
| 5. Email Address | | | | | | | |
| 6. If the premises currently has a liqu | or or beer license, you | must answer | the following questi | 005 | | | |
| Present Trade Name of Establishmen | t (DBA) | Present State | e License Number | Present Class of Licer | nse | Present Expiration Date | |
| 12 @ MADISON | | | 5579 | HER | | 12-3-20 | |
| Section A | Nonrefundable App | lication Fees | Section B (Cont.) | | | Liquor License Fees | |
| Application Fee for New License | | \$1.550.00 | Lodging & Ente | ertainment - L&E (County | <i>i</i>) | \$500.00 | |
| Application Fee for New License w/ | Concurrent Review | \$1,650.00 | | | | | |
| Application Fee for Transfer | | | | | | | |
| Section B | Liquor L | icense Fees | | | | nt\$75.00 | |
| Add Optional Premises to H & R | \$100.00 X T | otal | | | | ex\$75.00 | |
| Add Related Facility to Resort Comp | | | Master File Loo | ation Fee | \$25.00 | X Total | |
| Add Sidewalk Service Area | | | Contraction of the Contraction o | | | | |
| | | | | | | | |
| Arts License (City) | | | S500.00 | | | | |
| Beer and Wine License (City) | | | Sphonar remises License (County) | | | | |
| Beer and Wine License (County) | | | S500.00 | | | | |
| Brew Pub License (City) \$750.00 | | | Besort Complex License (City) | | | | |
| Brew Pub License (County)\$750.00 | | | Resort Complex License (Cruy) | | | | |
| Campus Liquor Complex (City)\$500.00 | | | Related Facility - Campus Liquor Complex (City) | | | | |
| Campus Liquor Complex (County)\$500.00 | | | Related Facility - Campus Liquor Complex (County) \$160.00 | | | | |
| Campus Liquor Complex (State)\$500.00 | | | Related Facility - Campus Liquor Complex (State)\$160.00 | | | | |
| Ciub License (City)\$308.75 | | | E retail Carning lavern Elcense (City) | | | | |
| Didillar Did License (County) | | | La rectail Carning lavern Elcense (County) | | | | |
| | | | 227.50 | | | | |
| Hotel and Restaurant License (City) \$50.00 | | | - Rotan Eldor Otore Eldense-Additional (County) | | | | |
| Hotel and Restaurant License (County) | | | | | | | |
| □ Hotel and Restaurant License w/one opt premises (City) | | | - Retail Elduor Glore (County) | | | | |
| ☐ Hotel and Restaurant License w/one opt premises (County) | | | Taveni License (City) | | | | |
| Liquor–Licensed Drugstore (City)\$227.50 | | | | | | | |
| Liquor–Licensed Drugstore (County)\$312.50 | | | | | | | |
| Lodging & Entertainment - L&E (City) | | | | | | | |
| Questions? Visit: www.colorado.gov/enforcement/liquor for more information | | | | | | | |
| Do not write in this space - For Department of Revenue use only | | | | | | | |
| Lipping Appoint Number | Li Lin Di | | nformation | | | | |
| License Account Number | Liability Date | License Issue | ed Through (Expirat | tion Date) | Total \$ | | |

DR 8404 (09/25/19)

Application Documents Checklist and Worksheet

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. All documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. All documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. **Questions? Visit:** www.colorado.gov/enforcement/liquor for more information

| Г | Items submitted places check all appropriate boxes completed or documents submitted |
|----------|---|
| <u> </u> | Items submitted, please check all appropriate boxes completed or documents submitted |
| 1. | Applicant information |
| | A. Applicant/Licensee identified B. State sales tax license number listed or applied for at time of application |
| | B. State sales tax license number listed or applied for at time of application |
| | C. License type or other transaction identified D. Return originals to local authority (additional items may be required by the local licensing authority) |
| | |
| | E. All sections of the application need to be completed |
| 11. | Diagram of the premises |
| | A. No larger than 8 1/2" X 11" |
| | B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences, |
| | walls, entry/exit points, etc.) C. Separate diagram for each floor (if multiple levels) |
| | D. Kitchen - identified if Hotel and Restaurant |
| | E. Bold/Outlined Licensed Premises |
| Ш. | Proof of property possession (<u>One Year Needed</u>) |
| m. | A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk |
| | □ B. Lease in the name of the applicant (or) (matching question #2) |
| | C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant |
| | □ D. Other agreement if not deed or lease. (matching question #2) |
| IV. | Background information (DR 8404-I) and financial documents |
| 1. | □ A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors, |
| | partners, members) |
| | B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved state |
| | vendor. Do not complete fingerprint cards prior to submitting your application. |
| 1 | The Vendors are as follows: |
| | IdentoGO – https://uenroll.identogo.com/ |
| | Phone: 844-539-5539 (toll-free) |
| | IdentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs |
| | Colorado Fingerprinting – http://www.coloradofingerprinting.com |
| | Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/ |
| | Phone: 720-292-2722 Toll Free: 833-224-2227 |
| | C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license |
| | D. List of all notes and loans (Copies to also be attached) |
| V. | Sole proprietor/husband and wife partnership (if applicable) |
| i conde | A. Form DR 4679 |
| | B. Copy of State issued Driver's License or Colorado Identification Card for each applicant |
| VI. | Corporate applicant information (if applicable) |
| | A. Certificate of Incorporation |
| | B. Certificate of Good Standing |
| | C. Certificate of Authorization if foreign corporation (out of state applicants only) |
| VII. | Partnership applicant information (if applicable) |
| | A. Partnership Agreement (general or limited). |
| | B. Certificate of Good Standing |
| VIII | I. Limited Liability Company applicant information (if applicable) |
| | A. Copy of articles of organization |
| | B. Certificate of Good Standing |
| | C. Copy of Operating Agreement (if applicable) |
| | D. Certificate of Authority if foreign LLC (out of state applicants only) |
| IX. | Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor |
| | Complex licenses when included with this application |
| | □ A. \$75.00 fee |
| | B. Individual History Record (DR 8404-I) |
| | C. If owner is managing, no fee required |

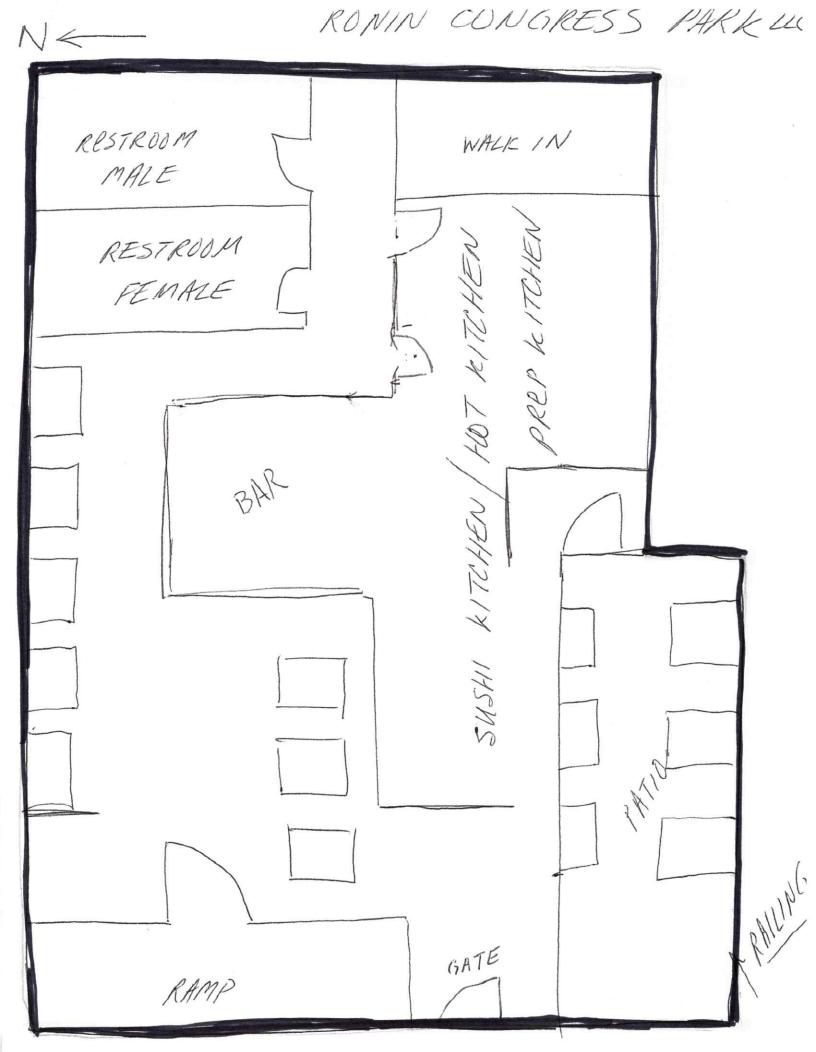
| Nam | RONIN CONGRESS PARK LLC Type of License H = R Account Number | |
|-------------|--|------|
| | Is the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, Yes stockholders or directors if a corporation) or managers under the age of twenty-one years? | No |
| | Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state): a. Been denied an alcohol beverage license? b. Had an alcohol beverage license suspended or revoked? c. Had interest in another entity that had an alcohol beverage license suspended or revoked? | XXX |
| lf yo 9. | answered yes to 8a, b or c, explain in detail on a separate sheet. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the | X |
| 10. | preceding two years? If "yes", explain in detail | |
| | Waiver by local ordinance? | |
| 11. | Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of greater than (>) 10,0000? NOTE : The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS. | A |
| 12. | Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,0000? NOTE : The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS. | |
| 13 | a. For additional Retail Liquor Store only. Was your Retail Liquor Store License issued on or before January 1, 2016? | |
| 13 | b. Are you a Colorado resident? | |
| 14. | Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ and $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers, stockholders or directors if a corporation of the business and list any $\frac{1}{2}$ Limited Liability Company: or officers and $\frac{1}{2}$ | her |
| 15. | Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement? Image: Comparison of the premises by ownership, lease or other strangement? Image: Ownership in the premises by ow | 5 |
| | a. If leased, list name of landlord and tenant, and date of expiration, exactly as they appear on the lease: LAGO CAPITAL INVESTIMATION OF AN AND AND AND AND AND AND AND AND AND | 1EN |
| | 10 CAPITAL INVESTMENTS LLC ROWN CONGRESS PARK LLC 9-25 | |
| | b. Is a percentage of alcohol sales included as compensation to the landlord? If yes, complete question 16. c. Attach a diagram that designates the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" X 11". | |
| 16. | Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies) will loan or given inventory, furniture or equipment to or for use in this business; or who will receive money from this business? Attach a separate sheet if necess | |
| | Name First Name Date of Birth FEIN or SSN Interest/Perce | |
| Last | GUREVICH ALEXANDER 91 Name First Name Date of Birth FEIN or SSN Interest/Perce WENSEN BRAD 97 | ntag |
| Atta | ch copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (inclu nerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreed ing to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation. | |
| | Optional Premises or Hotel and Restaurant Licenses with Optional Premises: Has a local ordinance or resolution authorizing optional premises been adopted? | |
| 18. | Number of additional Optional Premise areas requested. (See license fee chart) For the addition of a Sidewalk Service Area per Regulation 47-302(A)(4), include a diagram of the service area and documentation received the local governing body authorizing use of the sidewalk. Documentation may include but is not limited to a statement of use, permit, easement of the local permissions. | |
| 19. | Liquor Licensed Drugstore (LLDS) applicants, answer the following: a. Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? If "yes" a copy of license must be attached. | |

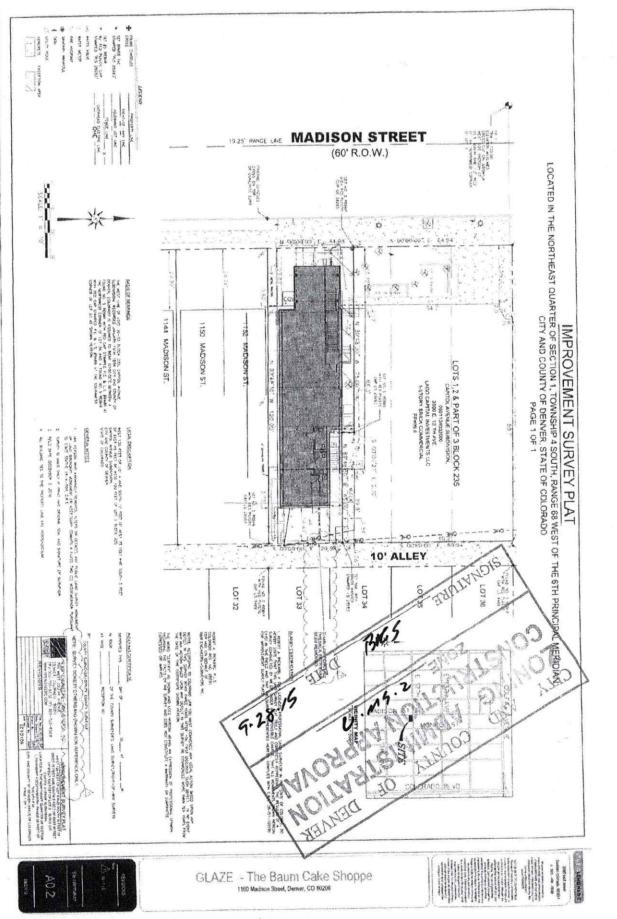
| Name | 0 6 00.00 | | Type of Licopeo | | Account Number | | |
|---|--|---|---|---------------------|-------------------------|-------------|--|
| | RONIN CONCERES, | SPARK LLC | Type of License $\mathcal{H} \in \mathcal{R}$ | - | Account Number | | |
| 20. Club | | | | | | | |
| b. Is | a. Is the applicant organization operated solely for a national, social, fratemal, patriotic, political or athletic purpose and not for pecuniary gain? b. Is the applicant organization a regularly chartered branch. lodge or chapter of a national organization which is operated solely for the object of a patriotic or fraternal organization or society, but not for pecuniary gain? | | | | | | |
| CONTRACT HERE | ow long has the club been incorpora | | | | N | /4 | |
| | as applicant occupied an establishme | | and the second se | ed solely for th | e reasons stated above? | | |
| | v-Pub, Distillery Pub or Vintner's Re as the applicant received or applied | | | ust he attache | N/ | 4 0 0 | |
| | as the applicant received of applied | | permit of application m | usi be allache | (0) | 1 | |
| 1000000111111 | a. Is the applicant an institution of higher education? | | | | | Yes No | |
| | the applicant a person who contract "yes" please provide a copy of the | | | | od services. | | |
| a. Ho In - (| 23. For all on-premises applicants. a. Hotel and Restaurant, Lodging and Entertainment, Tavern License and Campus Liquor Complex, the Registered Manager must also submit an Individual History Record - DR 8404-I and fingerprint submitted to approved State Vendor through the Vendor's website. See application checklist, Section IV, for details. | | | | | | |
| | or all Liquor Licensed Drugstores (LL DR 8000 and fingerprints. | DS) the Permitted Manager mu | ist also submit an Manag | er Permit Appli | cation | | |
| | ne of Manager | | First Name of Manage | NNER | | | |
| 24. Doe | es this manager act as the manager | of, or have a financial interest | | and the help the | nent in the State of | | |
| 25. Rela | ated Facility - Campus Liquor Comp | lex applicants answer the follo | wing: | NA EIGIA | 5 10-88201 | Yes No | |
| a. Is | s the related facility located within th | e boundaries of the Campus L | iquor Complex? | VA TIEFO | 10-00011-0 | | |
| lf If | es this manager act as the manager orado? If yes, provide name, type of ated Facility - Campus Liquor Comp is the related facility located within th i yes, please provide a map of the get i no, this license type is not available | eographical location within the for issues outside the geogra | Campus Liquor Comple phical location of the Ca | x. mpus Liquor C | Complex. | A. | |
| b. D | Designated Manager for Related Fac | ility- Campus Liquor Complex | | | 12 12 | | |
| Last Nam | ast Name of Manager First Name of Manager | | | | | | |
| 26. Tax | Information. | | | | | Yes No | |
| a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business? | | | | | | | |
| b. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.? | | | | | | | |
| 27. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and make an appointment with an approved State Vendor through their website. See application checklist, Section IV, for details. | | | | | | | |
| Mama | | Home Address, City & Stat | | DOB. | Position . | %Owned | |
| Alexa | mder livrench | | | | MANAGING Prin | 1r 91 | |
| Name Bra | d Swensen | Home Address, City & Stat | e | DOB, ', | Position | %Owned 9 | |
| Name | | Home Address, City & Stat | e | DOB / | Position | %Owned | |
| Name | | Home Address, City & Sta | e | DOB | Position | %Owned | |
| Name | | Home Address, City & Sta | e | DOB | Position | %Owned | |
| ** If applicant is owned 100% by a parent company, please list the designated principal officer on above. | | | | | | | |
| ** Corpor ** If total | orations - the President, Vice-Preside lownership percentage disclosed he oplicant affirms that no individual oth | nt, Secretary and Treasurer mu ere does not total 100%, applic | ant must check this box | ve (Include owr | | | |
| | prohibited liquor license pursuant to Article 3 or 5, C.R.S. | | | | | | |

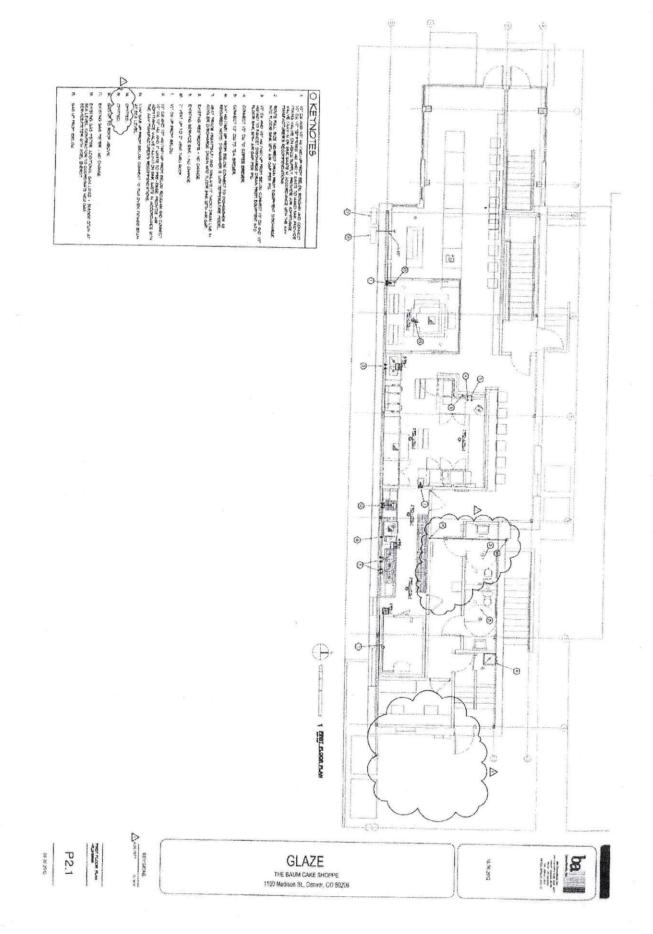
| Name RONIN CONGRESS P. | ARK LIC | Type of License | R | Account Number | | |
|--|--|--|--------------------|--|-----------------------------|--|
| | Oath Of A | Applicant | | | | |
| I declare under penalty of perjury in the second degree t knowledge. I also acknowledge that it is my responsibil Colorado Liquor of Beer Code which affect my license. | that this application ar ity and the responsibi | id all attachments are tru lity of my agents and em | ployees to con | nply with the provision | s of the | |
| Authorized signature Printed Name and Title Alexander Coursen 6 Partner 9/25/20 | | | | | | |
| Report and App | | censing Authority | | | / / | |
| Date application filed with local authority Date of | f local authority hearing | (for new license applicants | ; cannot be less | than 30 days from date | of application) | |
| The Local Licensing Authority Hereby Affirms that each p been: Fingerprinted Subject to background investigation, including That the local authority has conducted, or intends to co and aware of, liquor code provisions affecting their class | NCIC/CCIC check for onduct, an inspection | or outstanding warrants | | | | |
| (Check One) Date of inspection or anticipated date Will conduct inspection upon approval of state licensing authority | | | | | | |
| □ Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off- premises sales in a jurisdiction with a population of > 10,0000? | | | | | | |
| ☐ Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of < 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises | | | | | | |
| for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS. Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period? | | | | | | |
| The foregoing application has been examined; and the report that such license, if granted, will meet the reaso with the provisions of Title 44, Article 4 or 3, C.R.S., and | nable requirements o | f the neighborhood and I | the desires of the | pplicant are satisfacto ne adult inhabitants, a | ry. We do nd will comply | |
| Local Licensing Authority for | | Telephone Number | | Town, City County | | |
| Signature | Print | Land Control of Contro | | | Date | |
| Signature | Print | Title Date | | | Date | |

RONIN CONGRESS PARKUC









FERTER BILLING DATEAUSE ON TO SAID 120000 DRAMING PARADAR DI PERSINALI COLORD COLORD BARENNAS FILLING